

**Securities Board of Nepal**  
**Surveillance Department**  
**Reporting Status of Stock Brokers**  
**Annual Report Submission 2065-066**

**Till 2066/11/27**

S.N.	Company	Date to be Submitted	Date of Submission	Status	Remarks
1	ABC Securities Pvt. Ltd.	2066/06/31	2066-06-30	Timely Submitted	
2	Agrawal Securities P. Ltd	2066/06/31	2066-08-18	Submitted	
3	Annapurna Securities Service P.	2066/06/31	2066-08-10	Submitted	
4	Arun Securities P. Ltd	2066/06/31	2066-09-09	Submitted	
5	Asian Securities P. Ltd	2066/06/31	-	Not Submitted	Request For Time Extension
		2066/06/31	2066-09-29	Submitted Late	Audited Report
6	Asutosh Brokarage and Securitie	2066/06/31	2066-06-28	Submitted	Unaudited Report
		2066/06/31	2066-09-29	Submitted Late	Audited Report
7	J.F. Securities P. Ltd	2066/06/31	2066-06-30	Timely Submitted	
8	Kumari Securities P. Ltd	2066/06/31	2066-09-13	Submitted	
9	Malla & Malla Stock Broking Cor	2066/06/31	2066-06-30	Submitted	Unaudited Report
		2066/06/31	2066-09-27	Submitted Late	Audited Report
10	Market Securities Exchange Con	2066/06/31	2066-06-27	Timely Submitted	
		2066/06/31	2066-09-22	Submitted Late	1st Quarter
11	Midas Stock Broking Company P	2066/06/31	2066-06-30	Submitted	Unaudited Report
		2066/06/31	2066-09-27	Submitted Late	Audited Report
12	Nepal Investment and Securities	2066/06/31	2066-06-30	Timely Submitted	
13	Nepal Stock House P. Ltd	2066/06/31	2066-06-29	Submitted	Unaudited Report

Submission of of  
Balance Sheet as at  
30th Poush 2066 on  
2066/10/20

		2066/06/31	2066-09-22	Submitted Late	Audited Report
14	Pragyan Securities P. Ltd	2066/06/31	2066-09-09	Submitted	
15	Premier Securities P. Ltd	2066/06/31	2066-06-29	Timely Submitted	
16	Primo Securities P. Ltd	2066/06/31	2066-08-12	Submitted	
17	Sagarmatha Securities P. Ltd	2066/06/31	2066-06-30	Submitted	Unaudited Report
		2066/06/31	2066-09-30	Submitted Late	Audited Report
18	Shree Krishna Securities Ltd	2066/06/31	2066-06-29	Timely Submitted	
19	Sipla Securities P. Ltd	2066/06/31	2066-06-30	Submitted	Unaudited Report
		2066/06/31	2066-09-28	Submitted Late	Audited Report
20	Siprabi Securities P. Ltd	2066/06/31	2066-09-19	Submitted	
21	Stock Broker Opel Securities Tra	2066/06/31	2066-09-19	Submitted	
22	Sweta Securities P. Ltd	2066/06/31	2066-06-30	Submitted	Unaudited Report
		2066/06/31	2066-09-30	Submitted Late	Audited Report
23	Trisul Securities & Investsment	2066/06/31	2066-09-05	Submitted	

Submission of half half  
yearly provisional  
financial statement  
(2066/67)