

Securities Board of Nepal
Bijayachowk, Gaushala, Kathmandu
Financial Information Analysis Department
Stock Broker's Consolidated Financial Profile

For Fiscal Year 2065/66

A. Net Commission of 10 Million and Above (in Descending Order)													
Particulars	Reference	in Rs '000'											
		Sweta	Trishul	Nepal Investment	Asuthosh	Aggrawal	Shree Krishna	Primo	Nepal Stock House	Kumari	Siprabi	Premier	ABC
Commission Income													
Total Commission	1	29767	28424	0	24256	22294	0	20380	19976.348	17,187	16926	16334	0
Nepse Commission	2	7442	7106	0	6064	5300	0	5095	4994	4,297	4231	4084	0
Net Commission	3=1-2	22325	21318	19073	18192	16994	16946	15285	14983	12,870	12694	12251	11713
Operating Expenses													
Administrative and Office Expenses	4	7236	10933	6841	11485	3356	4252	5918	6411	3995	4055	5268	5253
Depreciation	5	460	713	1775	185	204	212	219	731	493	399	230	399
Total Operating Expenses	6=4+5	7696	11645	8616	11671	3561	4463	6137	7142	4488	4454	5499	5651
Operating Profit	7=3-6	14629	9673	10457	6522	13433	12483	9148	7840	8382	8241	6752	6062
Indirect Income													
Income from Investment in Securities	8	0	0		0					0	0	0	0
Interest Income	9	0	1	33		150	200	0	204		21	387	0
Other Indirect Incomes	10	0	0		1						1	0	1
Total Indirect Income	11=8+9+10	0	1	33	1	150	200	0	204	2	22	387	1
Indirect Expenses	12	57	0	0	0	0	0	0	0	0	0	0	0
Net Profit													
Net Profit Before Tax	13=7+11-12	14572	9674	10490	6523	10188	12683	9148	8044	8384	8263	7139	6063
Less: Bonus	14	1325	0	0	0	0	0	832	0	762	751	649	0
Provision for Tax	15	3269	3230	2622	1631	3396	0	2096	2011	1905	1890	1619	1516
Net Profit After Tax	16	9979	6443	7867	4892	6792	12683	6221	6033	5716	5621	4871	4547
Interim and Proposed Dividend	17	6000	5000	0	0	10000	0	4000	8750	4737	4000	0	0
Capital Funds													
Total No. of Paid up Shares in '000	18	20	100	25	30	20	120	20	25	25	20	20	25
Paid up capital	19	2000	10000	2500	3000	2000	12000	2000	2500	2500	2000	2000	2500
Reserve and Surplus	20	14074	3676	26013	18212	16452	13778	22894	13143	3173	9330	9792	10111
Accumulated Losses	21	0	0	0	0	0	0	0	0	0	0	0	0
Miss. Exp/Assets not written off	22	0	0	0	0	0	0	0	0	0	0	0	0
Net Worth	23=19+20-21-22	16074	13676	28513	21212	18452	25778	24894	15643	5673	11330	11792	12611
Net Worth Per Share in Rs.	24=23/18	804	137	1141	707	923	215	1245	626	227	567	590	504
Current Liabilities and Provisions													
Payable to Clients	25	0	3838		0	20137		0	17798	13777	0	0	0
Client Advance	26	5975	0		0	0	4808	44865	0	0	7627	91579	4741
Payable to NEPSE	27	0	10961		0	4505		0	6497	0	0	0	0
Other Current Liabilities and Provisions	28	11223	10115	10088	7178	12296		7449	2696	14565	7030	989	
Total Current Liabilities and Prov.	29	17198	24914	10088	7178	36938	4808	52314	26991	28342	14657	92568	4741
Long term Liabilities	30	1580	-	-	-	-	-	-	-	-	-	-	-
Current Assets													
Cash Balance of Client	31	0	0	0	0	0	0	0	0	0	0	0	0
Bank Balance of Client	32	0	0	0	0	0	0	0	0	0	0	0	0
Own Cash and Bank Balance	33	5228	11690	528	8893	28999	23469	1128	5439	14633	6985	9179	2235
Total Cash and Bank Balance	34	5228	11690	528	8893	28999	23469	1128	5439	14633	6985	9179	2235
Receivable from NEPSE	35	0	10266	0	0	18712	0	0	8268	0	0	0	0
Receivable from Clients	36	6212	5595	0	0	0	2848	44237	18872	14700	8761	84397	12948
Other Current Assets	37	20999	8837	19920	18936	3997	485	31135	6948	2187	6814	2299	
Total Current Assets	38=34+35+36+37	32439	36388	20447	27829	51708	26802	76500	39528	31519	22561	95874	15183
Long Term Assets													
Fixed Assets	39	2408	2198	17059	556	646	793	703	3101	2002	1421	2648	2164
Investments	40	5	5	1095	5	3035	2992	5	5	494	2005	5838	5
Other Assets	41	0	0	0	0	0	0	0	0	0	0	0	0
Total Long Term Assets	42	2413	2203	18153	561	3681	3785	708	3106	2496	3426	8486	2169
Major Indicators													
Earning Per Share(EPS)	43= 16/18	498.93	64.43	314.69	163.06	339.59	105.69	311.05	241.33	228.65	281.07	243.54	181.88
Dividend Per Share(DPS)	44 = 17/18	300	50	0	0	500	0.00	200	350	189.47	200	0	0
Current Ratio	45=38/29	1.89	1.46	2.03	3.88	1.40	5.57	1.46	1.46	1.11	1.54	1.04	3.20
Liquid Ratio*	46= (34+35+36)/29	0.87	1.11	0.05	1.24	1.29	5.47	0.87	1.21	1.03	1.07	1.01	3.20

* Note: Liquid Ratio for this purpose shall be calculated as:
(Cash and Bank Balances + Receivable from NEPSE + Receivable from Client)/Current Liabilities and Provisions

B. Net Commission below 10 Million (in Descending Order)												
Particulars	Reference	in Rs '000'										
		Pragyan	Cipla	Maila	Sagarmatha	Market	Annappurna	Arun	JF	Asian	Midas	Opal
Commission Income												
Total Commission	1	11763	0	10090.719	0	9333	11280	8533	7476	-	4963	4410.975
Nepse Commission	2	2941	0	2523	0	2333	2820	2133	1869	-	1241	1103
Net Commission	3=1-2	8822	8709	7568	7137	7000	6692	6400	5607	5173	3722	3308
Operating Expenses												
Administrative and Office Expenses	4	3776	4887	2948	2570	2359	3753	3717	2247	2514	2394	1698
Depreciation	5	252	555	324	30	190	218	69	57	105	200	222
Total Operating Expenses	6=4+5	4028	5442	3272	2660	2549	3970	3786	2304	2619	2594	1920
Operating Profit	7=3-6	4794	3268	4296	4477	4451	2722	2614	3303	2554	1128	1388
Indirect Income												
Income from Investment in Securities	8		-	1		0	0	0	0	0	1	
Interest Income	9	0	-	60	0	82	10	0	9	12	63	0
Other Indirect Incomes	10		-	0		25	1	32	0	0	0	
Total Indirect Income	11=8+9+10	0	-	61	0	108	11	32	9	12	64	0
Indirect Expenses	12	0	46	0	79	0	0	250	0	0	0	0
Net Profit												
Net Profit Before Tax	13=7+11-12	4794	3222	4357	4398	4558	2733	2396	3312	2566	1192	1388
Less: Bonus	14	0	-	0	0	0	0	0	301	0	0	0
Provision for Tax	15	1323	806	1117	1112	1195	683	599	754	641	275	427
Net Profit After Tax	16	3470	2417	3240	3286	3363	2050	1797	2256	1925	918	962
Interim and Proposed Dividend	17	0	-	2632	1053	2400	0	316	0	0	0	2000
Capital Funds												
Total No. of Paid up Shares in '000	18	20	20	20	20	20	20	20	20	20	20	20
Paid up capital	19	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000
Reserve and Surplus	20	11674	10065	3292	7162	2577	5045	8489	5372	7939	2549	1639
Accumulated Losses	21	0	-	0	0	0	0	0	0	0	0	0
Miss. Exp/Assets not written off	22	0	-	0	0	0	0	0	0	0	0	0
Net Worth	23=19+20-21-22	13674	12065	5292	9162	4577	7045	10489	7372	9939	4549	3639
Net Worth Per Share in Rs.	24=23/18	684	603	265	458	229	352	524	369	497	227	182
Current Liabilities and Provisions												
Payable to Clients	25	0	-		13375		6606	0	0	0		1674
Client Advances	26	0	-		0	11078	0	79163	353852	0		0
Payable to NEPSE	27	0	7.859		0	0	0	70111	0	0		0
Other Current Liabilities and Provisions	28	9570	6970	3627	3049	3665	737	419	328	666	511	2482
Total Current Liabilities and Prov.	29	9570	14629	3627	16424	14742	14354	79582	354179	666	511	4156
Long term Liabilities	30	-	1,398	-	-	-	-	-	-	-	-	-
Current Assets												
Cash Balance of Client	31	0	-	0	0	0	0	0	0	0	0	0
Bank Balance of Client	32	0	-	0	0	0	0	0	0	0	0	0
Own Cash and Bank Balance	33	8481	5677	4068	2949	5178	1320	310	2880	7875	2099	1160
Total Cash and Bank Balance	34	8481	5677	4068	2949	5178	1320	310	2880	7875	2099	1160
Receivable from NEPSE	35	0	4365	0	18958	7838	6948	0	0	0	0	462
Receivable from Clients	36	13278	-	0	0	3372	7051	71556	355036	0	0	4288
Other Current Assets	37	680	15842	1470	3270	1268	4222	17986	3273	2171	712	1420
Total Current Assets	38=34+35+36+37	22439	25885	5538	25177	17657	19541	89852	361189	10046	2810	7330
Long Term Assets												
Fixed Assets	39	806	2202	1567	315	663	1846	205	358	314	1136	461
Investments	40	0	5	1814	94	1000	12	14	5	245	1114	5
Other Assets	41	0	-	0	0	0	0	0	0	0	0	0
Total Long Term Assets	42	806	2207	3380	409	1663	1858	219	363	559	2249	466
Major Indicators												
Earning Per Share(EPS)	43= 16/18	173.52	120.83	162.02	164.31	168.14	102.49	89.85	112.82	96.23	45.90	48.08
Dividend Per Share(DPS)	44 = 17/18	0	-	131.57895	52.63	120	0	15.7895	0	0	0	100
Current Ratio	46=38/29	2.34	1.77	1.53	1.53	1.20	1.36	1.13	1.02	15.09	5.50	1.76
Liquid Ratio*	46= (34+35+36)/29	2.27	0.68	1.12	1.33	1.11	1.07	0.90	1.01	11.63	4.11	1.42

* Note: Liquid Ratio for this purpose shall be calculated as:
(Cash and Bank Balances + Receivable from NEPSE + Receivable from Clients)/Current Liabilities and Provisions